



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket Number: 112634.120)

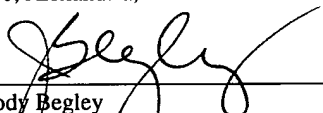
In re Application of: Peter Malcolm )  
Serial No.: 09/923,704 ) Examiner: Pierre E. Elisca  
Filed: August 7, 2001 ) Group Art Unit: 3621  
For: INFORMATION MANAGEMENT SYSTEM

\*\*\*\*\*

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: 04/06/05

  
Jody Begley

\*\*\*\*\*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of the foreign and non-patent references are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

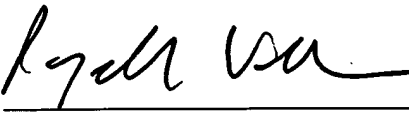
This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This information disclosure statement is being filed under 37 C.F.R. § 1.97 (c) (1), after the mailing of a first Office Action on the merits, but before the mailing date of either a Notice of Allowance or a Final Office Action. The fee of \$180.00 should be charged to Deposit Account No. 08-0217.

Respectfully submitted,

Date: 4-6-05  
Wilmer Cutler Pickering Hale and Dorr LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
(617) 526-5000 (Facsimile)

  
\_\_\_\_\_  
Rajesh Vallabh, Reg. No. 35,761  
Attorney/Agent for Applicants



|  |   |    |   |                               |  |                                  |  |
|--|---|----|---|-------------------------------|--|----------------------------------|--|
| Subt. For, PTO-1449  |   |    |   | Docket Number<br>112634.120   |  | Application Number<br>09/923,704 |  |
| INFORMATION DISCLOSURE<br>IN AN APPLICATION<br><br>(Use several sheets if necessary) |   |    |   | Applicant<br>Peter Malcolm    |  |                                  |  |
|  |   |    |   | Filing Date<br>August 7, 2001 |  | Group Art Unit<br>3621           |  |
| Sheet  | 1 | OF | 1 |                               |  |                                  |  |

| U.S. Patent Documents |                 |                           |   |   |
|-----------------------|-----------------|---------------------------|---|---|
| Examiner Initial      | Document Number | Publn. Date<br>mm-dd-yyyy | Name Of Patentee Or<br>Applicant Of Cited Documents | Pages, Columns, Lines, Where<br>Relevant Passages Or Relevant<br>Figures Appear |
|                       |                 |                           |   |   |
|                       |                 |                           |   |   |
|                       |                 |                           |   |   |

| Foreign Patent Documents |          |                              |                           |         |   |
|--------------------------|----------|------------------------------|---------------------------|---------|---|
| Examiner Initial         | Cite No. | Foreign Patent Document      | Publn. Date<br>mm-dd-yyyy | Country | Pages, Columns, Lines, Where<br>Relevant Passages Or Relevant<br>Figures Appear |
|                          |          | Country Code-<br>Number-Kind |                           |         |   |
|                          |          |                              |                           |         |   |
|                          |          |                              |                           |         |   |
|                          |          |                              |                           |         |   |

| Examiner's Initial | Other Documents (Including Author, Title, Date Pertinent Pages, Etc.) |   |
|--------------------|---|---|
|                    | AA  | Grimm, R. et al. "Security Policies in OSI-Management Experiences from the DeTeBerkom Project BMSec". Computer Networks and ISDN Systems, North Holland Publishing, Amsterdam, NL vol. 28, No. 4 (1996) pp. 499-511 |
|                    | AB  | Internet Standard Document ITU – T Recommendation H.323   |
|                    | AC  | Internet Standard Document ITU Document X.509   |
|                    | AD  | Internet Standard Document RFC 0821   |
|                    | AE  | Internet Standard Document RFC 2045-2049  |
|                    | AG  | Internet Standard Document RFC 2246   |
|                    | AH  | Internet Standard Document RFC 2312   |
|                    | AI  | Internet Standard Document RFC 2459   |
|                    | AJ  | Internet Standard Document RFC 2510   |
|                    | AK  | Internet Standard Document RFC 2511   |
|                    | AL  | Internet Standard Document RFC 2560   |
|                    | AM  | Internet Standard Document RFC 2585   |
|                    | AN  | Internet Standard Document RFC 2616   |
|                    | AO  | Internet Standard Document RFC 2632   |
|                    | AP  | Internet Standard Document RFC 2633   |
|                    | AQ  | Internet Standard Document RFC 2778   |
|                    | AR  | Internet Standard Document RFC 2779   |
|                    | AS  | Internet Standard Document RFC2527  |
|                    | AT  | Takkinen J. et al(1998)Café: A Conceptual Model for Managing Information in Electronic Mail". Proceedings of the Annual Hawaii International Conference on System Sciences, pp. 44-53                               |

|  |                 |
|--|-----------------|
| EXAMINER   | DATE CONSIDERED |
| EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant. |                 |